

# **Stock Option Authorization Form**

#### **Return Instructions:**

**Employee Stock Plan Clients:** 

Email: shareplansupport@siebert.com Phone: 800.993.2015 Fax: 402.342.2486

Do not submit your order more than once, or your transaction may be duplicated. Executed transactions resulting from duplicate order requests will be entered into the customer's Muriel Siebert & Co., Inc. (Siebert) account. Fees subject to change without notice.

	_		
Name	X,	Int∩r	mation

Name & In	formation							
Last Name				First Name				Middle Initial
U.S. Citizen?			Social Security Num	ber (US only)	Siebert Accoun	nt Number (if knov	vn)	
Yes	No							
Home Address				City		State	Zip	
Business Address				City		State	Zip	
Home Phone		Business Phone		Fax	Email			
Employment	Status (choose one)	Active	Retired (Date	):	_ Terminate	ed (Date):		
Employee Numbe	er			Country of Employment				
Order Type	•							
Please check	ONE of the followi	ng.						

<b>5</b> 1	
Please check ONE of the following.	
New Order	
Amendment to Order Dated:	_(Please complete the following sections including any amendments.)
Cancellation of Order Dated:	(Please provide original order details in the following sections.)

#### **Authorization Information**

Authorization to exercise the following stock of:						
Grant Date	Grant Price (per share)	Number of Shares to Exercise	Grant Identification Number			
	Total Shares Exercised:					

#### Choose One:

Sell all of the shares listed above

Sell only enough shares to cover the option cost, applicable withholding taxes and brokerage fees. A \$35 calculation fee will be added to the commision charge.\*

### **Authorization Price**

Please check ONE of the following. I authorize Siebert to sell the above listed shares at:			
The Market Price of the shares at the time of the trade			
A LIMIT PRICE of \$	per share.		

I agree that this order will remain "Good 'Till Cancelled" or until it is filled. I understand that it is my responsibility to cancel any order that I do not wish to execute. If I wish to change the order, I will submit a new form and request an "amendment to an existing order." Executed transactions resulting from an order which I fail to cancel will be entered into my account. I understand that it is my responsibility to cover that transaction. Please note, however, that all orders which become subject to trading window restrictions (blackout periods) will automatically be cancelled.

<sup>\*</sup>Please consult Siebert for current service fee rates.

#### **Payment Instructions**

Mail a US\$ check to my mailing address
Send a US\$ check by overnight courier to my mailing address.*
Hold proceeds in my Brokerage Account
Wire transfer US\$ to my bank as instructed on the next page.*
Wire transfer local currency to my bank as instructed on the next page.*

Siebert has entered into an agreement with a third party provider ("Provider") to facilitate foreign currency transactions for Siebert customers who request the receipt of funds in a currency other than US Dollars. The Provider changes a fee for its foreign currency conversion services which is passed through to the customer by Siebert. A portion of this fee is retained by Siebert. For transactions in US Dollars, Siebert does not use the Provider's services and therefore there is no currency exchange fee for the customer.

#### Wire Detail Information:

(Information below must be completed in full or your request will be delayed.)				
Bank Name		Bank Street Address		
Name on Bank Account		Your Account Number/IBAN Number		
ABA /Routing Number (Domestic)		SWIFT/BIC Code (International)		
Bank City	Bank State	Bank Province / Country		

## **Stock Registration/Delivery Instructions**

If instructions ar	e incomplete, your	stock and/or	dividends will be he	eld ir	n your account.				
' -				(indicate address below)					
			Register certificate	es in	: My name	only			
					My name	& my spouse's nar	ne		
Hold dividen	ds in my account	OR	Mail a US\$ check (	(indi	cate address be	low)			
	l. ff				A.I.I. 6 P.				
Address for de	livery of certificate	es:			Address for div	vidend payments	& stoci	kholder infor	nation:
Home	Business	Other:			Home	Business	Oth	ner:	
Name/Attention					Name/Attention				
Street Address					Street Address				
City		State	Zip		City			State	Zip
Province		Country	'		Province			Country	'
Account Number		·							

## **Signature**

I hereby acknowledge that I understand and agree to the terms set forth in the Stock Option Authorization Form and accept financial responsibility for the execution of this order as submitted.

Signature X	Date	

<sup>\*</sup>Please consult Siebert for current service fee rates.